

Appendix: Holdings in Major Coal Mining and Utility Companies

These tables contain the investment holdings for the UC Regents, the CSU Chancellor’s Office, CalPERS, and CalSTRS in 15 major coal mining and utility companies (because of its investment policies, the CSU Chancellor has no holdings in the selected companies). Details of campus foundation holdings were not available at the time of this writing, but may be obtained at a later date. Certain pooled funds (such as CalPERS and CalSTRS) are shared between multiple public agencies rather than belonging just to a college or university system. In these cases, the listed market value reflects the holding as a whole, not the higher education share.

Table A-1. California higher education holdings in Peabody Energy Corp. (NYSE: BTU, S&P: BB+)

Fund/Manager	Fund Location/Purpose	Investment Type	Market Value
Russell 3000 Index Fund	UC Treasurer Managed Funds: UCRP, GEP, UC Equity Fund, UC Davis Foundation, CAM, 415M, UC Domestic Equity Index Fund, TRIP	Domestic equity	\$12,094,400
Eaton Vance LC Value	UC Treasurer Managed Funds: UCRP, GEP	Domestic equity	3,438,989
CalPERS	CSU Retirement	Corporate bond	4,267,088
CalPERS	CSU Retirement	Domestic equity	30,722,372
CalSTRS	CCC Retirement	Domestic equity	46,554,000
CalSTRS	CCC Retirement	Corporate bond	4,586,000

Table A-2. California higher education holdings in Arch Coal, Inc. (NYSE: ACI; S&P: BB-)

Fund/Manager	Fund Location/Purpose	Investment Type	Market Value
Russell 3000 Index Fund	UC Treasurer Managed Funds: UCRP, GEP, UC Equity Fund, UC Davis Foundation, CAM, 415M, UC Domestic Equity Index Fund, TRIP	Domestic equity	\$3,432,795
Fred Alger & Co	UC Treasurer Managed Funds: UCRP, GEP	Domestic equity	1,557,611
CalPERS	CSU Retirement	Corporate bond	1,148,576
CalPERS	CSU Retirement	Domestic equity	8,432,443
CalSTRS	CCC Retirement	Domestic equity	16,237,000
CalSTRS	CCC Retirement	Corporate bond	1,108,000

Table A-3. California higher education holdings in Patriot Coal Corp. (NYSE: PCX; S&P: B+)

Fund/Manager	Fund Location/Purpose	Investment Type	Market Value
Russell 3000 Index Fund	UC Treasurer Managed Funds: UCRP, GEP, UC Equity Fund, UC Davis Foundation, CAM, 415M, UC Domestic Equity Index Fund, TRIP	Domestic equity	\$1,410,305
CalPERS	CSU Retirement	Corporate bond	372,927
CalPERS	CSU Retirement	Domestic equity	2,950,778
CalSTRS	CCC Retirement	Domestic equity	2,267,000
CalSTRS	CCC Retirement	Corporate bond	963,000

Table A-4. California higher education holdings in Alpha Natural Resources (NYSE: ANR; S&P: BB)

Fund/Manager	Fund Location/Purpose	Investment Type	Market Value
Russell 3000 Index Fund	UC Treasurer Managed Funds: UCRP, GEP, UC Equity Fund, UC Davis Foundation, CAM, 415M, UC Domestic Equity Index Fund, TRIP	Domestic equity	\$8,157,207
Lingohr Equal Country Weight	UC Treasurer Managed Funds: UCRP, GEP	International Equity	481,664
CalPERS	CSU Retirement	Domestic equity	15,459,860
CalSTRS	CCC Retirement	Domestic equity	13,276,000

Table A-5. California higher education holdings in CONSOL Energy, Inc. (NYSE: CNX; S&P: BB)

Fund/Manager	Fund Location/Purpose	Investment Type	Market Value
Russell 3000 Index Fund	UC Treasurer Managed Funds: UCRP, GEP, UC Equity Fund, UC Davis Foundation, CAM, 415M, UC Domestic Equity Index Fund, TRIP	Domestic equity	\$8,774,589
CalPERS	CSU Retirement	Corporate bond	8,912,643
CalPERS	CSU Retirement	Domestic equity	16,700,397
CalSTRS	CCC Retirement	Domestic equity	23,900,000
CalSTRS	CCC Retirement	Corporate bond	12,525,000

Table A-6. California higher education holdings in American Electric Power (NYSE: AEP; S&P: LT: BBB, ST: A-2)

Fund/Manager	Fund Location/Purpose	Investment Type	Market Value
Russell 3000 Index Fund	UC Treasurer Managed Funds: UCRP, GEP, UC Equity Fund, UC Davis Foundation, CAM, 415M, UC Domestic Equity Index Fund, TRIP	Domestic equity	\$14,438,750
Eaton Vance LC Value	UC Treasurer Managed Funds: UCRP, GEP	Domestic equity	2,156,313
LSV LC Value	UC Treasurer Managed Funds: UCRP, GEP	Domestic equity	3,654,960
CalPERS	CSU Retirement	Domestic equity	36,784,952
CalSTRS	CCC Retirement	Domestic equity	60,855,000

Table A-7. California higher education holdings in Duke Energy (NYSE: DUK; S&P: LT: A-, ST: A-2)

Fund/Manager	Fund Location/Purpose	Investment Type	Market Value
Russell 3000 Index Fund	UC Treasurer Managed Funds: UCRP, GEP, UC Equity Fund, UC Davis Foundation, CAM, 415M, UC Domestic Equity Index Fund, TRIP	Domestic equity	\$19,079,403
CalPERS	CSU Retirement	Corporate bond	20,233,116
CalPERS	CSU Retirement	Domestic equity	52,577,040
CalSTRS	CCC Retirement	Domestic equity	98,299,000
CalSTRS	CCC Retirement	Corporate bond	14,993,000

Table A-8. California higher education holdings in Southern Company (NYSE: SO; S&P: LT: BBB, ST: A-2)

Fund/Manager	Fund Location/Purpose	Investment Type	Market Value
Russell 3000 Index Fund	UC Treasurer Managed Funds: UCRP, GEP, UC Equity Fund, UC Davis Foundation, CAM, 415M, UC Domestic Equity Index Fund, TRIP	Domestic equity	\$25,799,347
CalPERS	CSU Retirement	Domestic equity	77,383,588
CalSTRS	CCC Retirement	Domestic equity	77,964,000

Table A-9. California higher education holdings in MidAmerican Energy Holdings Corp. (NYSE: BRK.A, S&P: BBB+)

Fund/Manager	Fund Location/Purpose	Investment Type	Market Value
UC Regents	UC Treasurer Managed Funds: 403(b) Bond Fund	Corporate bond	\$19,192,215
UC Regents	UC Treasurer Managed Funds: STIP	Liquidity	35,834,490
CalPERS	CSU Retirement	Corporate bond	76,489,432
CalSTRS	CCC Retirement	Domestic equity	2,520,000
CalSTRS	CCC Retirement	Corporate bond	32,519,000

Table A-10. California higher education holdings in First Energy Corporation (NYSE: FE; S&P: BBB-)

Fund/Manager	Fund Location/Purpose	Investment Type	Market Value
Russell 3000 Index Fund	UC Treasurer Managed Funds: UCRP, GEP, UC Equity Fund, UC Davis Foundation, CAM, 415M, UC Domestic Equity Index Fund, TRIP	Domestic equity	\$14,337,006
JP Morgan Asset Mgmt LC	UC Treasurer Managed Funds: UCRP, GEP	Domestic Equity	899,777
CalPERS	CSU Retirement	Corporate bond	16,015,463
CalSTRS	CCC Retirement	Domestic equity	43,645,000
CalSTRS	CCC Retirement	Corporate bond	56,000

Table A-11. California higher education holdings in Ameren Corporation (NYSE: AEE; S&P: LT: BBB-, ST: A-3)

Fund/Manager	Fund Location/Purpose	Investment Type	Market Value
Russell 3000 Index Fund	UC Treasurer Managed Funds: UCRP, GEP, UC Equity Fund, UC Davis Foundation, CAM, 415M, UC Domestic Equity Index Fund, TRIP	Domestic equity	\$5,645,603
CalPERS	CSU Retirement	Domestic equity	17,265,340
CalSTRS	CCC Retirement	Domestic equity	16,964,000

Table A-12. California higher education holdings in PPL Corporation (NYSE: PPL; S&P: BBB)

Fund/Manager	Fund Location/Purpose	Investment Type	Market Value
Russell 3000 Index Fund	UC Treasurer Managed Funds: UCRP, GEP, UC Equity Fund, UC Davis Foundation, CAM, 415M, UC Domestic Equity Index Fund, TRIP	Domestic equity	\$12,679,070
Eaton Vance LC Value	UC Treasurer Managed Funds: UCRP, GEP	Domestic equity	1,093,107
CalPERS	CSU Retirement	Domestic equity	25,115,518
CalSTRS	CCC Retirement	Domestic equity	28,402,000
CalSTRS	CCC Retirement	Corporate bond	7,549,000

Table A-13. California higher education holdings in NRG Energy (NYSE: NRG; S&P: BB-)

Fund/Manager	Fund Location/Purpose	Investment Type	Market Value
Russell 3000 Index Fund	UC Treasurer Managed Funds: UCRP, GEP, UC Equity Fund, UC Davis Foundation, CAM, 415M, UC Domestic Equity Index Fund, TRIP	Domestic equity	\$4,953,657
T. Rowe Price LC Value	UC Treasurer Managed Funds: UCRP, GEP	Domestic equity	2,676,762
CalPERS	CSU Retirement	Corporate bond	13,988,928
CalPERS	CSU Retirement	Domestic equity	14,621,877
CalSTRS	CCC Retirement	Domestic equity	16,644,000
CalSTRS	CCC Retirement	Corporate bond	24,047,000

Table A-14. California higher education holdings in Dominion Resources (NYSE: D; S&P: LT: A-, ST: A-2)

Fund/Manager	Fund Location/Purpose	Investment Type	Market Value
Russell 3000 Index Fund	UC Treasurer Managed Funds: UCRP, GEP, UC Equity Fund, UC Davis Foundation, CAM, 415M, UC Domestic Equity Index Fund, TRIP	Domestic equity	\$22,249,091
UC Regents	UC Treasurer Managed Funds: Museum of Paleontology	Domestic equity	115,848
CalPERS	CSU Retirement	Corporate bond	49,375,060
CalPERS	CSU Retirement	Domestic equity	53,511,756
CalSTRS	CCC Retirement	Domestic equity	113,429,000
CalSTRS	CCC Retirement	Corporate bond	9,530,000

Table A-15. California higher education holdings in Edison International (NYSE: EIX; S&P: BBB-)

Fund/Manager	Fund Location/Purpose	Investment Type	Market Value
Russell 3000 Index Fund	UC Treasurer Managed Funds: UCRP, GEP, UC Equity Fund, UC Davis Foundation, CAM, 415M, UC Domestic Equity Index Fund, TRIP	Domestic equity	\$10,166,528
CalPERS	CSU Retirement	Domestic equity	34,102,838
CalSTRS	CCC Retirement	Domestic equity	44,711,000